

Consent form for the collection of personal data for the *Oral Histories of Economics* project (*ex ante* version)

To be signed in multiple copies: by interviewees and interviewers (as many copies as the sum of interviewees and interviewers +1)

This form is aimed at securing your consent for **the release into the public domain of a revised version of an interview recording and transcript**. The revisions you may request are subject to the restrictions indicated in the information notice attached to this form.

The interview will be released in the public domain in two ways. First, the revised version of the recording and transcript of the interview will be deposited in the French public repository for research data, **Nakala** (part of the Huma-Num structure, under the tutelage of the National Center for Scientific Research [CNRS] and the Université Aix-Marseille). Second, the deposited interview will be granted a **universal license** (CC0 1.0 from the *Science Commons* project of the non-profit organization Creative Commons; this license is particularly useful for the practice of open science; it is applied to research data used in journals such as *Nature*). By releasing the interview in the public domain, it becomes a genuine scientific source (with a DOI), which in turn helps to improve research practices in the history of economic thought and economic philosophy with regard to the use of oral sources.

The purpose of this form is **to secure your consent to (i) the collection of your personal data via the interview, (ii) the processing of these data and (iii) the waiver of your property rights on the interview**. The management of your personal data throughout the process of transcription, revision and release of the interview in the public domain is ensured by a formal procedure, within the framework of the *Oral Histories of Economics* project, under the supervision of Dorian Jullien (Université Paris 1 Panthéon-Sorbonne, Centre d'Economie de la Sorbonne) and of Francesco Sergi (Université Paris Est-Créteil, *Laboratoire Interdisciplinaire d'Etudes du Politique Hannah Arendt*). This procedure, outlined in the attached information notice, has been recorded in the Centre d'Economie de la Sorbonne (CES - UMR 8174) unit's data processing register by Gaëlle Bujan, CNRS Data Protection Officer.

By signing the consent form, you certify:

- that you have read and understood the information provided in the information notice;
- that the *Oral Histories of Economics* project team has answered your questions satisfactorily;
- that the *Oral Histories of Economics* project team has informed you that you are free to withdraw your consent or withdraw from this research at any time.

Participant information:

Name:

First name:

To be completed by the participant: *(to be adapted according to the situation)*

- I have read and understood the information provided in the information notice and I willingly agree to participate in this research.

☐ YES ☐ NO

- I consent to the recording of my interview, and to the handling of the recording by the *Oral Histories of Economics* project team.

☐ YES ☐ NO

- I agree to the filming of my image and words, and to the handling of the recording by the *Oral Histories of Economics* project team.

☐ YES ☐ NO

- I agree to the use of the recording(s) and transcription in scientific conferences, seminars, or to their use in any other form of exploitation of the data collected as part of the *Oral Histories of Economics* project.

☐ YES ☐ NO

- I accept that "sensitive data" revealing my alleged racial or ethnic origin, my political opinions, my philosophical or religious beliefs, my health, my life or sexual orientation or my trade union membership may be collected, stored and used by the *Oral Histories of Economics* project team; I am aware that during the interview review process I will have the opportunity to request the deletion of any passages of the interview containing such data, and that I do not wish to release in the public domain.

☐ YES ☐ NO

- I agree to the use of my personal and sensitive data for research projects with the same objectives as the *Oral Histories of Economics* project.

☐ YES ☐ NO

- I agree, after I have been re-listening to the recording and re-reading the transcription of my interview (which may lead to the deletion of certain passages), for the audio recording as well as its (possibly modified) transcription to be released into the public domain, *via* the granting of a CC0 1.0 license, by which I renounce my copyright on these data.

☐ YES ☐ NO

- I accept that once I have received the link to the original version of the recording and the transcript of the interview, I have two months to notify any changes I wish to make and that, after this period, the original versions will be released in the public domain, knowing that I may at any time exercise my right to rectification and my right to deletion of the versions deposited in the public domain.

☐ YES ☐ NO

Surname, First name - Date - Signature

A copy of this document will be given to you, and another copy will be kept in your file by the *Oral Histories of Economics* project sponsors.

Information notice about the Oral Histories of Economics project (version for *ex ante* collection)

(to be given to participants and users)

The information collected about you will be processed as part of the *Oral Histories of Economics (OHE)* project run by:

- Dorian Jullien, Université Paris 1 Panthéon-Sorbonne, Centre d'Economie de la Sorbonne, 112 boulevard de l'Hopital, 75013, dorian.jullien@univ-paris1.fr ;
- Francesco Sergi, Université Paris-Est Créteil, Laboratoire Interdisciplinaire D'Etudes Du Politique Hannah Arendt, 61 avenue du Général de Gaulle, 94010 Créteil Cedex, francesco.sergi@u-pec.fr.

The aim of the *OHE* project is to release interviews (audio recordings and transcriptions) about the history of economic research ideas and practices in the public domain **under a CC0 1.0 license** and make them available online in open access **on Nakala**. The CC0 1.0 license is part of the *Science Commons* project of the non-profit Creative Commons organization. This license is particularly useful for the practice of open science and is notably applied to research data used in journals such as *Nature*. Nakala is a French public repository for research data, belonging to the Huma-Num structure, under the tutelage of the CNRS and the Université Aix-Marseille.

By releasing interviews in the public domain, these testimonies become genuine scientific sources (accessible to all, and to which a DOI has been assigned), which in turn improves research practices in the history of economic thought and in the philosophy of economics, with regard to the use of oral sources. The *OHE* project also aims at providing support and resources to academics (mainly in the history and philosophy of economics) who might be interested in conducting interviews on the history of ideas and research practices in economics, or who might be interested in sharing interviews they have already conducted.

During the interview, interviewees are expected to answer questions about the evolution of their research practices and their experiences of the world of economic research.

Only the data strictly necessary for our research are collected and processed. Depending on the interviewee's judgement as to their relevance to documenting his/her experience in the world of scientific research, these data may include the following personal information (the interviewee is free to refuse to disclose each of the following categories, with the exception of his/her first and last name):

- *identification data* (last name, first name, gender, year of birth);
- *professional data* (CV, education, scientific awards, research practices, professional relationships);
- *sensitive data* (religious or philosophical opinions, trade-union or political affiliations, sexual orientation or life, health-related information).

The legal basis for the processing of the data is based on a public service mission (Article 6.1.e) of the General Data Protection Regulation, hereafter GDPR).

The participants consent to participating to the project by signing the attached consent form: "Consent form for the collection of personal data for the *Oral Histories of Economics* project".

Participation in the *Oral Histories of Economics* project is entirely free and voluntary.

The interviewee's participation in this project may be terminated at any time. Such withdrawal will have no consequences. In accordance with the GDPR, the interviewee can exercise his/her rights and withdraw his/her consent to participate in the *Oral Histories of Economics* project by simply requesting it by email to francesco.sergi@u-pec.fr or dorian.jullien@univ-paris1.fr.

All data will be stored in the European Union. Data processing follows the following procedure.

1. The information gathered during the interview is audio (and sometimes video) recorded.
2. The recording(s) of the interview is stored on (i) an encrypted hard disk dedicated to the *OHE* project, belonging to the interviewer, (ii) an encrypted hard disk dedicated to the *OHE* project, belonging to the two project leaders (Dorian Jullien and Francesco Sergi) and (iii) on the ShareDocs secure online workspace (built for French public research by the Huma-Num structure under the tutelage of the CNRS and the Université Aix-Marseille) with restricted access (to the interviewer and the two project leaders).
3. The interviewer or one of the two project leaders (or, if necessary, another member of the *OHE* project team) transcribes the interview online on ShareDocs. This transcription is made on a text document on which the line numbering is visible.
4. The interviewer and/or one of the two project leaders may delete passages from the interview that he or she deems irrelevant to the aims of the research into the history and philosophy of economics (in particular, passages that could clearly damage the reputation of a third party). The original versions of the interview and transcript, as well as any versions modified after this stage, are kept on the two encrypted hard disks and on ShareDocs for 24 months after the date on which the interview was conducted. After this period, the files are deleted and the interviewee is informed by e-mail.
5. **VERY IMPORTANT:** the interviewee is assisted by a member of the *OHE* project to access ShareDocs. Restricted access for the interviewee to any modified versions of the recording and transcript is activated. The interviewee then has **TWO MONTHS** to request
 - the deletion of certain parts of the audio recording and transcript, to remove (i) value judgments about third parties that the interviewee no longer wishes to make public *a posteriori*, or (ii) any type of information concerning "sensitive data" (i.e. data concerning his or her alleged racial or ethnic origin, political opinions, philosophical or religious beliefs, health, sexual life or orientation, or trade union membership) that the interviewee no longer wishes to make public *a posteriori*; these requests can be addressed either by:
 - sending an e-mail mentioning the times codes marking the parts to be deleted or the transcript line numbers marking the part to be deleted (anything deleted from the transcript will also be deleted from the recording);
 - highlighting or otherwise marking the passages to be deleted on the online version of the transcript (anything deleted from the transcript will also be deleted from the recording);
 - the modification of certain parts of the transcript (made by commenting on the online version or inserting changes in track change mode) should be limited to a set of information, i.e. names or dates that the interviewee no

longer remembered at the time of the interview. It is important for the interviewee to realize that the transcription revision phase is not similar to the revision of an article for publication, or to the revision of an interview carried out for a purely written publication (in the press, for example); **the transcription must remain as faithful as possible to the audio recording.**

6. Once the changes requested by the interviewee have been incorporated into new versions of the recording and transcript, and once these new versions have been validated (by e-mail or by communication via ShareDocs), they are released in the public domain via their deposit on Nakala in open access with the attribution of a CC0 1.0 license. **In the absence of any feedback from the interviewee two months after transmission of the restricted-access link to the versions for revisions, these versions will be deposited in Nakala in open access with attribution of a CC0 1.0 license, in accordance with the commitments contractually agreed in the consent form.**
7. At any time, the interviewee can claim his or her right to be forgotten by contacting one of the two project leaders by e-mail and requesting the deletion of all or part of the interview (recording and transcription) in open access on Nakala.

The identity of both the interviewee and the interviewer are explicitly associated with Nakala deposits, so that researchers wishing to cite the interview can do so in scientifically satisfactory conditions, by mentioning these names, a date and a DOI referring to the recording and transcription of the interview. Any reuse of the data available on Nakala after release in open access is possible, as they are released in the public domain via the attribution of a CC0 1.0 license to these data. This means that researchers citing these interviews as a source in their work do not need to seek the interviewee's permission to publish their work. The results of research carried out in part on the basis of *OHE* project interviews may be presented in professional and scientific symposia, included in reports intended for the authorities, and published in professional and academic journals and in medias intended for the general public. We call on researchers in the history of economic thought and the philosophy of economics to make professional use of these data, respecting the integrity of the interviewees and helping to maintain the trust with the community of economists (or other academics) that is necessary for the continuity of the *OHE* project. The possibility of out-of-context quotations and dishonest paraphrases of the interviews is greatly minimized by the fact that they are released in the public domain in open access (anyone can put a quotation in context and judge the honesty of a paraphrase).

You can ask questions about this project at any time by contacting the project managers by e-mail: francesco.sergi@u-pec.fr or dorian.jullien@univ-paris1.fr.

You may access and obtain a copy of your data, oppose the processing of these data, have them rectified or deleted. You can exercise these rights by contacting:

- Dorian Jullien, Maison des Sciences Economiques - office 219, 112 boulevard de l'Hopital, 75013, dorian.jullien@univ-paris1.fr
- Francesco Sergi, Université Paris-Est Créteil, Laboratoire D'Etudes Du Politique Hannah Arendt, 61 avenue du Général de Gaulle, 94010 Créteil Cedex, francesco.sergi@u-pec.fr

You can also contact the Data Protection Officer of the Centre d'Economie de la Sorbonne laboratory by e-mail at dpd.demandes@cnrs.fr or by postal mail at the following address: CNRS - Service protection des données, 2 rue Jean Zay, 54500 Vandoeuvre-lès-Nancy, France.

Once you have contacted us, if you feel that your rights with regard to data processing, data filing and individual liberties have not been respected, you may lodge a complaint online with the CNIL (<https://www.cnil.fr/>) or by post: CNIL, 3 Place de Fontenoy, TSA 80715 - 75334 Paris Cedex 07.